

# Measurement of condensed water mass during mechanical ventilation with Heated Wire Humidifiers: experiments with and without pre-warming

E. Schena, *IEEE Member*, P. Saccomandi, *IEEE, Student Member*, M. Giorgino, *IEEE, Student Member*, and S. Silvestri, *IEEE Member*

**Abstract**— Heated wire humidifiers (HWHs) are employed in mechanical ventilation with the objective of heating and humidifying the gases delivered to the mechanical ventilator. They use a control based on the adjustment of gas temperature at the chamber outlet. The condensation occurring within the breathing circuit is one of the most important concerns related to this control strategy.

In the present study we focused on the measurement of the condensation amount within the breathing circuit during the employment of a commercial HWH (MR850, Fisher & Paykel). The measurement of the condensed vapor mass, performed during 7 h of ventilation, provides more objective information than the visual-based scale used in literature. Moreover, two solutions were proposed to minimize the condensation in the breathing circuit tract downward the heated chamber: *i*) a flexible insulating pipe was used to cover the mentioned breathing circuit tract, and *ii*) the air delivered by ventilator was heated before it passes through the chamber at different inlet temperature  $T_i$  obtained by employing pre-warming.

To assess the improvement obtained by these two solutions, experiments have been carried out with and without their employment at two minute volumes.

Results show that: *i*) insulation and pre-warming allows minimizing the condensation (e.g., at  $8 \text{ L}\cdot\text{min}^{-1}$  the mass of condensation after 7 h of ventilation decreases from 9.3 g to 2.5 g by using insulation and  $T_i=27^\circ\text{C}$ ); *ii*) the condensation mass decreases with  $T_i$  (e.g., at  $8 \text{ L}\cdot\text{min}^{-1}$  the mass condensation was 2.5 g at  $T_i=27^\circ\text{C}$  and 1.1 g at  $T_i=30^\circ\text{C}$ ); and *iii*) the amount of condensation linearly increases with time of ventilation.

## I. INTRODUCTION

Mechanical ventilation is required to assist patients in breathing, and, therefore, requires the accurate monitoring of delivered gases parameters, such as flow, relative humidity and temperature [1-4]. For instance, during both non-invasive and invasive mechanical ventilation gases delivered by mechanical ventilator need to be treated –warmed and humidified– along the inspiratory tract of the breathing circuit. This treatment aims for minimizing adverse health effects due to dry gas, particularly critical in neonatal ventilation. The degree and most appropriate method of conditioning is debated –i.e., vapor content higher than  $30 \text{ mgH}_2\text{O}\cdot\text{L}^{-1}$  or about  $44 \text{ mgH}_2\text{O}\cdot\text{L}^{-1}$  at  $37^\circ\text{C}$  [5,6]–. Heated

Wire Humidifiers (HWHs) are largely employed to treat gas in long lasting neonatal ventilation. They are placed along the inspiratory tract of the breathing circuit: the gas delivered by mechanical ventilator passes through the water reservoir of the HWHs. The reservoir is placed on a metallic plate heated by Joule phenomenon, which warms the liquid. When the gas passes through the reservoir absorbs the vapor and increases its temperature. The control strategy of HWHs is usually based on the adjustment of the heat lost on the electrically powered plate which aims at maintaining constant the temperature at the chamber outlet ( $T_{\text{out}}$ ). Therefore, the control strategy, called “single point temperature control”, employs the measurement of  $T_{\text{out}}$  as a feedback. Furthermore, HWHs uses a heated wire placed within the breathing circuit tract between the reservoir and the Y piece to avoid a gas temperature decrease along the inspiratory limb. Although, in literature more complex controls have been proposed [7, 8], commercially HWHs employ the aforementioned control which does not allow obtaining sufficient performances under unfavorable conditions: absolute humidity of inspired gas can be much lower than recommended or too high with consequent condensation. The factors which influence HWHs performances are largely studied: gas humidification decreases with  $T_i$  [9], is influenced by ventilatory settings [10, 11, 12] and environmental temperature [13]. Among the performances of HWHs, the amount of condensed vapour within the breathing circuit is very important since it can increase the rate of pulmonary infection. Lellouche *et al.* [13] and Ricard *et al.* [14] analyzed the condensation on the wall of the humidification reservoir and on the breathing circuit, respectively, by a visual scale.

In this paper the mass of condensed vapor within the breathing circuit has been measured during ventilation lasting 7 hours, as proposed in a recent study [15]. Experiments have been carried out at two minute volumes, MV, (i.e.,  $4 \text{ L}\cdot\text{min}^{-1}$  and  $8 \text{ L}\cdot\text{min}^{-1}$ ), without pre-warming ( $T_i\approx 24^\circ\text{C}$ ), with slight ( $T_i\approx 27^\circ\text{C}$ ), medium ( $T_i\approx 30^\circ\text{C}$ ) and intense pre-warming ( $T_i\approx 35^\circ\text{C}$ ), in order to assess the influence of MV and  $T_i$ . Furthermore, a flexible pipe insulation was used to insulate the breathing circuit tract downward the HWH in order to minimize the condensation in the breathing circuit tract. Data obtained with and without insulation and with and without pre-warming have been compared in order to assess the improvement obtained by employing these solutions.

## II. THEORETICAL BACKGROUND

HWHs present a heater wire along the breathing circuit between the humidification chamber and the Y piece to increase the gas temperature along this tract. This operation

\*This work has been carried out under the financial support of Filas - Regione Lazio in the framework of the ITINERIS2 project (CUP code F87G10000060009).

E. Schena, P. Saccomandi, M. Giorgino and S. Silvestri are with the Unit of Measurements and Biomedical Instrumentation, Center for Integrated Research, Università Campus Bio-Medico di Roma, Via Alvaro del Portillo, 21-00128- Rome-Italy. (e-mail: [e.schena, p.saccomandi, s.silvestri]@unicampus.it); micaela.giorgino@alcampus.it.

aims to avoid the vapor condensation because the relative humidity [RH] decreases with temperature. In fact, RH can be expressed as:

$$RH = \frac{1.61 \cdot p_t \cdot AH}{p_s} \quad (1)$$

being  $p_t$  and  $p_s$  the total gas pressure and the saturation pressure of water vapor, respectively, and AH the absolute humidity.

Since  $p_s$  increases with temperature T [16]:

$$p_s = 611.21 \cdot \exp\left(\frac{17.502 \cdot T}{240.97 + T}\right) \quad (2)$$

RH decreases with T. Therefore, the increases of temperature performed by HWHs should prevent vapor condensation. On the other hand, the outer part of the breathing circuit wall is at environmental temperature (20÷25 °C), hence its temperature is lower than the one of the internal gas (i.e., ≈40 °C). Therefore, the temperature increase is not sufficient to overcome the concern related to the condensation, as reported in literature [9,13,15].

### III. EXPERIMENTAL SETUP

The experimental setup has been proposed in a previous study by the same research group [15]. The gas at two different MV (i.e., 4 L·min<sup>-1</sup> and 8 L·min<sup>-1</sup>) and at a respiratory frequency of 20 bpm has been delivered by a mechanical ventilator (Servo 300A, Siemens, Fig.1a). The gas reaches the HWH (MR 850, Fisher & Paykel Healthcare, Auckland, New Zealand, Fig.1b) through a standard breathing circuit. The gas temperature at the chamber inlet ( $T_i$ ) has been adjusted by a manual control of the electrical power dissipated on a heated wire encapsulated in the breathing circuit (Fig.1c). The power has been supplied by a DC voltage generator (Fig.1d) and the value of  $T_i$  has been displayed on a digital multimeter equipped with a type-K thermocouple (179, Fluke, range -40°C to 400°C, accuracy ±1 °C, Fig.1e). The HWH (MR850, Fisher & Paykel) employs a temperature sensor to measure the gas temperature at the chamber outlet ( $T_o$ ), which is used as a feedback to adjust the electrical power lost dissipated on the heater plate of the chamber. This simple control strategy aims at maintaining  $T_o$  within 37±1°C. All trials have been performed by selecting the HWH operation mode as 'invasive mode'. In this modality the HWH maintains  $T_i$  within 37±1°C and ensures that the Y piece temperature ( $T_Y$ ) ranges within 40±1°C. This simulates a clinical scenario being the "invasive mode" employed in tracheostomized patients and when their upper airways are bypassed by an endotracheal tube. A value of  $T_Y$  higher than  $T_o$  is obtained by heating the gases upward the reservoir through a heated wire encapsulated within the breathing circuit (Fig.1f). During some trials, an insulating flexible pipe has been used to cover this tract of breathing circuit. At the end of the Y piece, a lung simulator, which can be employed for tidal volume up to 1 L, (Test Lung 190, Siemens, Fig.1g) was mounted.

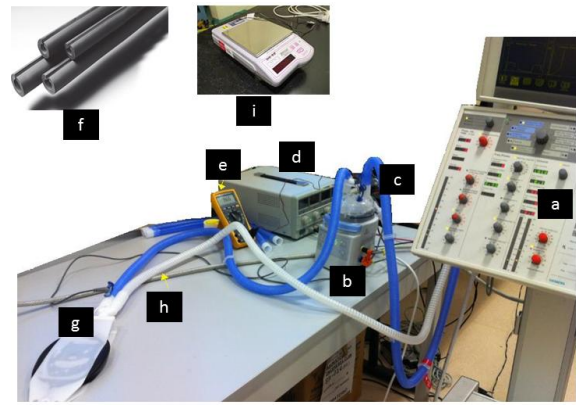


Figure 1. Experimental setup: a) mechanical ventilator; b) HWH; c) breathing circuit upward the chamber; d) DV power supply; e) multimeter; f) flexible pipe insulator; g) lung simulator; h) breathing circuit downward; i) technical balance.

In order to estimate the condensation amount, the mass of the breathing circuit placed between the chamber and the Y piece (Fig.1h) was measured in two phases: *i*) before the start of ventilation ( $m_o=112.9\pm0.1$  g), when the condensation was absent, and *ii*) during the 7 hours of ventilation, each hour ( $m_i(t)$ ). The mass of condensation,  $\Delta m$ , was estimated as the difference between  $m_i$  and  $m_o$ , as reported in [15]. All the mass measurements have been carried out by a load cell technical balance (range up to 7500 g, resolution of 0.1 g, EU 7500, Gibertini, Fig.1i). This approach is not operator dependent and allows discriminating small amount of condensation.

### IV. RESULTS

The mass of condensed vapor measured during the experiments and at 20 bpm and 8 L·min<sup>-1</sup>, and at 20 bpm and 4L·min<sup>-1</sup>, without insulating pipe and without pre-warming ( $T_i=24\pm1$  °C) and employing these two solutions is shown in Fig.2.

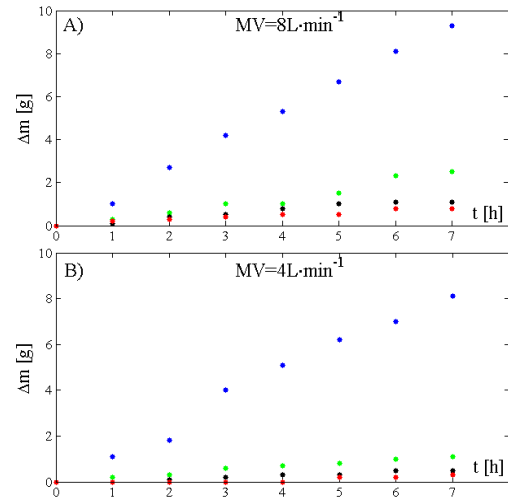


Figure 2. Condensed vapour at 8 L·min<sup>-1</sup> (A) and 4 L·min<sup>-1</sup> (B). Blue dots: without insulating tube and without pre-warming ( $T_i=24$  °C); green dots: with insulation and slight pre-warming ( $T_i=27$  °C); black dots: with insulation and medium pre-warming ( $T_i=30$  °C); red dots: with insulation and strong pre-warming ( $T_i=35$  °C).

Data show that the introduction of insulation and pre-warming strongly improve the issue related to the vapor condensation at both the MV: e.g., at 8 L·min<sup>-1</sup>, after 7 h of ventilation the mass of condensed vapor is about 9.3 g without these solutions vs values lower than 2.3 g employing pre-warming and insulation. Moreover, the higher is the pre-warming the lower is  $\Delta m$  (e.g., 2.3 g at  $T_i=27^\circ\text{C}$  vs 1.1 g at  $T_i=30^\circ\text{C}$ ).

The experimental data also allow investigating the influence of MV on  $\Delta m$  at the different experimental conditions is reported in fig.3: in all the four conditions the amount of condensed vapor has been higher at 8 L·min<sup>-1</sup> than at 4 L·min<sup>-1</sup>.

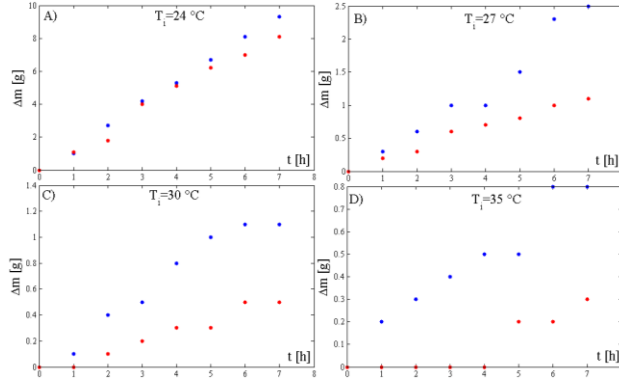


Figure 3. Amount of condensed vapour without pre-warming and insulation (A) and with insulation and different pre-warming intensity (B-D).

The values of  $\Delta m$  measured after the 7 h of ventilation are shown in Table I.

TABLE I.  $\Delta m$  AT THE END OF THE TRIALS

MV [L·min <sup>-1</sup> ]	Conditions			
	Without Insulation	With Insulation		
	$T_i \approx 24^\circ\text{C}$	$T_i \approx 27^\circ\text{C}$	$T_i \approx 30^\circ\text{C}$	$T_i \approx 35^\circ\text{C}$
	$\Delta m$ [g]	$\Delta m$ [g]	$\Delta m$ [g]	$\Delta m$ [g]
4	8.1	1.1	0.5	0.3
8	9.3	2.5	1.1	0.8

Experimental data show that the mass of condensation increases during ventilation with a linear trend. The best fitting lines for each condition are shown in fig.4.

The high values of  $R^2$  confirm that  $\Delta m$  linearly increases with ventilation time up to 7 h. The slope of the best fitting lines, which represents the mass of condensed vapor per unit of time, shows a marked decrease with the employment of the insulating pipe and with  $T_i$ . Furthermore, the slope depends on MV: the bigger the MV the higher the slope (see Table II).

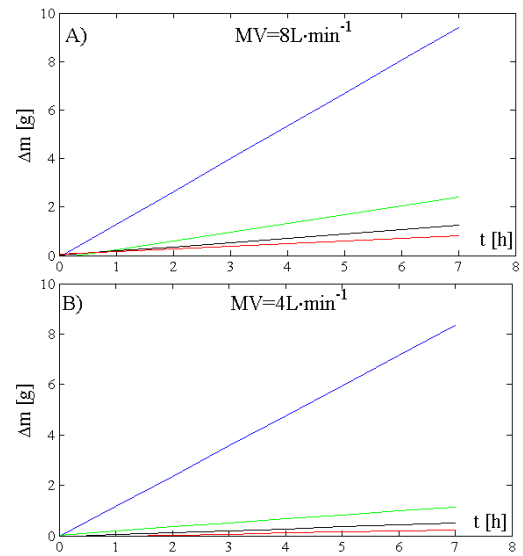


Figure 4. Best fitting lines of the amount of condensed vapour vs ventilation time at 8 L·min<sup>-1</sup> (A) and 4 L·min<sup>-1</sup> (B). Blue lines: trials performed without insulating tube and without pre-warming ( $T_i=24^\circ\text{C}$ ); green lines: trials performed with insulation and slight pre-warming ( $T_i=27^\circ\text{C}$ ); black lines: trials performed with insulation and medium pre-warming ( $T_i=30^\circ\text{C}$ ); red lines: trials performed with insulation and strong pre-warming ( $T_i=35^\circ\text{C}$ ).

TABLE II. BEST FITTING LINES OF THE AMOUNT OF CONDENSED VAPOUR VS TIME OF VENTILATION: SLOPE AND CORRELATION COEFFICIENT ARE REPORTED

	Conditions			
	Without Insulation	With Insulation		
	$T_i \approx 24^\circ\text{C}$	$T_i \approx 27^\circ\text{C}$	$T_i \approx 30^\circ\text{C}$	$T_i \approx 35^\circ\text{C}$
	<b>MV=4 L·min<sup>-1</sup></b>			
s [g·h <sup>-1</sup> ]	1.19	0.165	0.0714	0.0307
$R^2$	0.987	0.982	0.944	0.651
	<b>MV=8 L·min<sup>-1</sup></b>			
s [g·h <sup>-1</sup> ]	1.34	0.338	0.178	0.121
$R^2$	0.998	0.954	0.962	0.944

## V. DISCUSSION

The estimation of condensed vapor through the measurement of the breathing circuit mass allows discriminating slight variation of mass (i.e., <0.1 g). therefore, it has been possible to compare results under different operating conditions, overcoming the well-known concerns related to the use of visual scale (e.g., low resolution, operator dependency...) [9,13].

The quantitative analysis reported in this study has confirmed that the solution employed on HWH, which increases the gas temperature of 3°C between the chamber exit and the Y piece (37°C vs 40°C), is not sufficient to avoid vapor condensation, and that the condensed vapor increases with MV [15]. In fact, at environmental temperature the amount of condensed vapor after 7 h of ventilation is about 9.3 g at 8 L·min<sup>-1</sup> and 8.1 g at 4 L·min<sup>-1</sup>. This concern becomes particularly important when the ventilation is not

carried out in the hospital but in environment where the temperature can experience relevant changes.

In order to improve the performances of HWHs related to the condensation within the breathing circuit, two solutions, which represent the main novelties of this work, are proposed: 1) to carry out a pre-warming treatment to increase the inlet gas temperature. The pre-warming should avoid over-humidification as reported in previous studies [11, 12]; 2) to insulate the wall of the breathing circuit by a flexible insulating wire. This solution aims at avoiding a temperature decrease of the breathing circuit wall due to the temperature difference between the gas flowing through the breathing circuit (i.e.,  $\approx 40^\circ\text{C}$ ) and the environmental temperature ( $\approx 24^\circ\text{C}$ ).

Experiments show that the proposed solutions allow minimizing the condensation: after 7 h of ventilation the amount of condensed vapor strongly decreases: at  $8 \text{ L}\cdot\text{min}^{-1}$  from 9.3 g to 2.5 g ( $T_i=27^\circ\text{C}$ ) 1.1 g ( $T_i=27^\circ\text{C}$ ) 0.8 g ( $T_i=27^\circ\text{C}$ ); at  $4 \text{ L}\cdot\text{min}^{-1}$  from 8.1 g to 1.1 g ( $T_i=27^\circ\text{C}$ ) 0.5 g ( $T_i=27^\circ\text{C}$ ) 0.3 g ( $T_i=27^\circ\text{C}$ ). These results are also confirmed by the values of  $s$  (Table II): e.g., at  $8 \text{ L}\cdot\text{min}^{-1}$ , the mass of condensed vapor per unit of time decreases from  $1.19 \text{ g}\cdot\text{h}^{-1}$  to  $0.165 \text{ g}\cdot\text{h}^{-1}$  employing insulation and slight pre-warming ( $T_i=27^\circ\text{C}$ ).

Summing up, the main findings are: the results obtained by the introduction of the two aforementioned solutions, and the comparative analysis between amount of condensed vapor without these solutions (clinical scenario) and employing the insulation pipe and different intensities of pre-warming.

## VI. CONCLUSION

The results confirm that the gas temperature increase between the outlet chamber and the Y piece does not prevent the condensation along this tract. Moreover, the introduction of a flexible insulating pipe, which avoids the direct contact between the breathing circuit wall and the environmental temperature, allows maintaining high the temperature of the wall minimizing condensation (see Table II). The introduction of the mentioned solution, coupled to the gas pre-warming almost prevent the condensation. A further advantage to perform the pre-warming is to make independent the inlet gas temperature, which strongly influence the HWHs performances, from environmental one. The improvement obtained by employing the two mentioned solutions encourages to perform further trials to investigate their influence at different respiratory settings or during an intra-breath analysis [17].

## REFERENCES

[1] E. Schena, P. Saccomandi, M. Mastrapasqua and S. Silvestri, "An optical fiber based flow transducer for infant ventilation: Measurement principle and calibration," *MeMeA 2011 Proc. IEEE International Symposium on Medical Measurements and Applications*, pp. 5966648  
 [2] E. Schena, G. Lupi, S. Cecchini and S. Silvestri, "Linearity dependence on oxygen fraction and gas temperature of a novel Fleisch pneumotachograph for neonatal ventilation at low flow rates," *Measurement* vol. 45, no. 8, pp. 2064-71, 2012.

[3] E. Schena, S. Silvestri, "A high sensitivity fiber optic macro-bend based gas flow rate transducer for low flow rates: Theory, working principle, and static calibration," *Rev Scie Instrum*, vol. 84, pp. 024301, 2013.  
 [4] E. Schena, S. Cecchini and S. Silvestri, "An orifice meter for bidirectional air flow measurements: Influence of gas thermo-hygrometric content on static response and bidirectionality," *Flow Meas Instrum*, vol. 34, pp. 105-12, 2013.  
 [5] R.D. Branson, R.S. Campbell, R.L. Chatburn and J.L. Covington "AARC Clinical Practice Guideline: Humidification during mechanical ventilation," *Respiratory Care*, vol. 37, pp. 887-90, 1992.  
 [6] S.N. Ryan, N. Rankin, E. Meyer, and R. Williams, "Energy balance in the intubated human airway is an indicator of optimal gas conditioning," *Critical Care Medicine*, vol. 30, pp. 355-61, 2002.  
 [7] E. Schena, S. Silvestri S, "A transistor based air flow transducer for thermohygrometric control of neonatal ventilatory applications," *Rev Scie Instrum*, vol. 79, pp. 104301, 2008.  
 [8] A. Verta, E. Schena, S. Silvestri S, "Mathematical model and minimal measurement system for optimal control of heated humidifiers in neonatal ventilation," *Med Eng Phys*, vol. 32, pp. 475-481, 2010.  
 [9] B.G. Carter, N. Whittington, N. Hochmann and A. Osborne, "The effect of inlet gas temperatures on heated humidifier performance," *Journal of Aerosol Medicine and Pulmonary Drug Delivery*, vol. 15, pp. 7-13, 2002  
 [10] T. Nishida, M. Nishimura, Y. Fujino, T. Mashimo, "Performance of heated humidifiers with a heated wire according to ventilatory settings," *J Aerosol Med*, vol. 14, pp. 43-51, 2001.  
 [11] E. Schena, E. De Paolis and S. Silvestri, "Gas pre-warming for improving performances of heated humidifiers in neonatal ventilation", *Proc. 33rd Annu International Conf IEEE Eng Med Biol Soc 2011*, pp. 1205-1208.  
 [12] E. Schena, P. Saccomandi, C. Ramandi, S. Silvestri, "A novel control strategy to improve performances of heated wire humidifiers in artificial neonatal ventilation," *Physiol Meas*, vol. 33, pp. 1199-1211, 2012.  
 [13] F. Lellouche, S. Taille, S.M. Maggiore, S. Qader, E. L'Her, N. Deye, L. Brochard, "Influence of ambient and ventilator output temperatures on performance of heated-wire humidifiers," *Am J Resp Crit Care*, vol. 170, pp. 1073-9, 2004.  
 [14] J.D. Ricard, P. Markowicz, K. Djedaini, L. Mier, F. Coste, D. Dreyfuss, "Bedside evaluation of efficient airway humidification during mechanical ventilation of the critically ill," *Chest*, vol. 115, pp. 1646-52, 1999.  
 [15] E. Schena, P. Saccomandi, S. Cappelli, S. Silvestri, "Mechanical ventilation with heated humidifiers: measurements of condensed water mass within the breathing circuit according to ventilatory settings," *Physiol Meas*, vol. 34, pp. 813-821, 2013.  
 [16] A.L. Buck, "New equations for computing vapor pressure and enhancement factor," *Journal of Applied Meteorology and Climatology*, vol. 20, pp. 1527-32, 1981.  
 [17] E. Schena, A. Quaranta, P. Saccomandi and S. Silvestri, "Performances of heated humidifiers in mechanical ventilation: a preliminary intra-breath analysis", *Proc. 35th Annu International Conf IEEE Eng Med Biol Soc 2011*, pp. 934-937.